

CORPORATE ACCOUNT APPLICATION

One Financial Markets is the trading name of Axi Financial Services (UK) Ltd, a company registered in England with company number 6050593. Axi Financial Services (UK) Ltd is authorised and regulated by the Financial Conduct Authority in the UK (under firm reference number 466201) and the Financial Sector Conduct Authority in South Africa (with FSP number 45784).

CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. **82.7% of retail investor accounts lose money when trading CFDs with this provider.** You should consider whether you understand how CFDs work and whether you can afford to take the high risk of losing your money.

Initials: _____

CORPORATE ACCOUNT APPLICATION

Before completing the following form it is essential that you have read and understood all the terms and conditions concerned with your One Financial Markets trading account as detailed in the Client Agreement (including Terms and Conditions, Order Execution Policy and Risk Warning Notice).

We will use the information provided in this form to assess your suitability to open an account. For this reason it is essential that all the information provided is entirely accurate and if, at a future date, any circumstances adversely affect this information you are required to notify us in writing to advise us of these details.

Please type or print clearly using block letters and ensure you initial each page. Incomplete applications will not be approved.

For any questions related to the completion of this form, the terms and conditions or the required supporting documents please contact Client Services on clientservices@ofmarkets.com or tel: + 44 (0) 203 857 2000.

SUPPORTING DOCUMENTATION

All application forms must be accompanied by the following documents:

Legal Structure/Company Documents:

- Certified copy* of Certificate of Incorporation (or local equivalent)
- Copy of Memorandum of Association (or local equivalent)
- Copy of Articles of Association (or local equivalent)
- Most recent audited accounts

Ownership/Control:

- List of all officer(s), director(s) and shareholder(s) (including percentage holding) on company letterhead
- Board Resolution approving account with One Financial Markets (template can be provided on request)
- List detailing the level of authorisations for each individual signatory on company letterhead
- Certified copy* of a valid passport, national ID card or photocard drivers licence for each director, authorised person and shareholder with >25% holding named above
- Copy of a recent (no more than 4 months old) utility bill or bank statement for each director, authorised person and shareholder with >25% holding named above

*Certification may be carried out by a lawyer, Notary Public, Consulate or Embassy official and be stamped as “true copy of the original”. All copies must be clear and certification must be legible, dated and signed with the full name and address of the certifier included.

Please note:

- If the majority shareholder(s) is a corporate entity, full corporate ID is required
- Original documents must be received in London within 14 days of acceptable fax or scanned submissions unless otherwise agreed in advance
- English translations must be provided where applicable and translations also certified
- Depending on the company structure, One Financial Markets reserves the right to request additional information

ACCOUNT INFORMATION

Phone password (Please insert numbers only):

Please select your account currency: USD EUR GBP

Please select your trading platform: ONE | MT4 ONE | MT5

Will any other person trade on your behalf?: Yes No

How did you hear about us?:

What are your investment objectives?: Capital Growth Speculation Income Hedging

With what frequency do you expect to trade?: Daily Weekly Monthly

What is your risk tolerance?: Risk orientated Balanced Conservative

Initials: _____

COMPANY INFORMATION

Registered name of the company: (the Company)

Type of company: Private Public

Type of business:

Trading name (if different to above):

Registered number:

Registered address:

Physical address (if different to above):

Correspondence address (if different to above):

Company email address:

Company telephone number:

Is the Company registered with the FCA or other regulator? Yes No

If yes, please give details:

Is the Company or its parent company, listed on any Stock Exchange Yes No

If yes, please give details:

EUROPEAN MARKET INFRASTRUCTURE REGULATION

The European Market Infrastructure Regulation (EMIR) has been introduced in order to provide better transparency and reduce the risks associated with the derivatives market. The regulation imposes requirements on all types and sizes of EEA based corporate entities that enter into any form of derivative contract to report details to an approved Trade Repository. This regulation extends to cover entities who are not involved in financial services and indirectly to non-EU firms trading with EU firms.

We offer to report these trades on your behalf and require your individual Legal Entity Identifier (LEI) to do this. The LEI is designed to create a global reference data system that uniquely identifies every legal entity or structure, in any jurisdiction, that is party to a financial transaction and we are unable to accurately report your trades without it.

Do you have a Legal Entity number (LEI): Yes No

If yes please provide:

If no please register for an LEI number here www.lseg.com/LEI

(Please note we require all companies to provide an LEI number to meet our EMIR reporting obligations.)

Initials: _____

CONTACT DETAILS OF THE PRINCIPAL DIRECTOR OR AUTHORISED PERSON

Please note that this should be the individual who contacted One Financial Markets and will be the person contacted in relation to any charges or notifications regarding the Company's account.

Title: Gender: M F

First name:

Middle name:

Surname:

Date of birth: Nationality:

Email address:

Telephone number:

CONTACT DETAILS OF THE PRINCIPAL TRADER

Please note that this should be the individual responsible for making the trading decisions on the account.

Title: Gender: M F

First name:

Middle name:

Surname:

Date of birth: Nationality:

Email address:

Telephone number:

Passport Number:

FINANCIAL INFORMATION

What is the Company's net turnover (in EUR (approximate USD value in brackets)): (please tick one)

- more than 40mio (45mio)
- between 25mio (28mio) and 40mio (45mio)
- less than 25mio (28mio)

Does the Company have:

- Balance sheet total of more than EUR 12.5mio (approx. USD 14mio) Yes No
- Own funds of EUR 2mio (approx. USD 2.5mio); Yes No
- An average number of employees of more than 250? Yes No

Expected initial deposit size:

Initials: _____

PREVIOUS TRADING EXPERIENCE

Have you traded CFDs or margined FX using a demo account: Yes No

What, if any, products have you traded in a live environment (where you made the final trade decision) in the last 12 months?

	Never	Rarely (less than once a month)	Sometimes (at least 3 times a month)	Often (at least 10 times a month)
Foreign Exchange (spot, forward or bullion)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Futures, CFDs or Spreadbets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Shares or Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

MARKET KNOWLEDGE & AWARENESS

Do the directors and/or principal contact person understand the nature and risk of trading margined/leveraged products? Yes No

Do the directors and/or principal contact person understand that you can lose more than your initial deposit or margin payment? Yes No

Are you aware that the Company must maintain a minimum level of margin in the account to cover any margin requirements? Yes No

Do the directors and/or principal contact person understand that we are the Company's counterparty and that your positions are taken not with an exchange? Yes No

Do the directors and/or principal contact understand that volatile market conditions may impact our ability to generate prices or to execute your orders? Yes No

What are the Company's investment objectives: Capital growth Income Hedging Speculation

Initials: _____

DECLARATIONS

Please tick to confirm:

- We have read, understood and accept in full the Client Agreement (including Terms and Conditions, Order Execution Policy and Risk Warning Notice) (as amended from time to time).
- We understand and accept that our orders will be executed in accordance with the Order Execution Policy (as amended from time to time).

By submitting this application form we confirm that:

- We have access to the internet and consent to One Financial Markets providing us with information about changes to the Client Agreement and/or Order Execution Policy by posting such information on its website **www.onefinancialmarkets.com**
- We understand that CFDs and margined FX are leveraged products and are sure they are suitable for the Company; we have been advised of our ability to seek independent financial advice
- We confirm that the information represented here is true and accurate and not misleading in any respect. We agree to inform One Financial Markets promptly in writing should any of the information provided here cease to be correct

Signature:..... Signature:.....

Full name:..... Full name:.....

Position:..... Position:.....

Date:..... Date:.....